

SECTORS #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Group Art Unit: 2712 TARO SUITO ET AL. Examiner: Not Assigned RESPONSE TO NOTICE TO FILE MISSING Appln. No. 09/448,836 PARTS OF APPLICATION AND SUBMISSION Filed: November 23, 1999 OF COMBINED POWER OF ATTORNEY AND DECLARATION INFORMATION PROCESSING For: APPARATUS, INFORMATION PROCESSING METHOD, AND **DISTRIBUTION MEDIA** 2001 Ferry Building San Francisco, CA 94111 415/433-4150

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 5, 2000 (copy enclosed), applicants submit the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

February 4, 2000 (Date)

SONY-Q9138 (S99P1138US00) By:

Charles P. Sammut Registration No. 28,901

Attorneys for Applicant(s)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 2000.

LIMBAQH & LIMBACH L.L.P.

Date: February 4, 2000

Name: Susan Ozanne

PATENTS\MISSPART.MRG

Rev. 01/15/97



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TARO SUITO ET AL.

Appln. No.: 09/448,836

Filed: November 23, 1999

For: INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING METHOD, AND

DISTRIBUTION MEDIA

Group Art Unit: 2712

Examiner: UNKNOWN

REQUEST FOR CORRECTION OF THE OFFICIAL FILING

RECEIPT

2001 Ferry Building San Francisco, CA 94111 415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on FEBRUARY 4, 2000.

LIMBACH & LIMBACH L.L.P

Date: FEB. 4, 2000

By: Susan Ozanne

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt (Form PTO-103X) which was received in our offices on January 10, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the Attorney Docket No. to read: SONY-Q9138

PATENT

Please forward the amended Official Filing Receipt to:

Charles P. Sammut Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111

In the event it is determined that a fee pursuant to 37 C.F.R. § 1.19(h) is required in connection with this request, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
LIMBACH & LIMBACH L.L.P.

Date: Februsy 4, 2000

By:

Charles P. Sammut Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-Q9138 (S99P1138US00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TARO SUITO ET AL.

Appln. No. 09/448,836

Filed: November 23, 1999

For:

INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING METHOD, AND

DISTRIBUTION MEDIA

Group Art Unit: 2712

Examiner: Not Assigned

SUBMISSION OF FORMAL DRAWINGS

2001 Ferry Building San Francisco, CA 94111

415/433-4150

Attention: Official Draftsman

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are twenty-nine (29) sheets of formal drawings comprising Figures 1 through 29.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

February 4, 2000

(Date)

SONY-Q9138 (S99P1138US00) By:

Charles P. Sammut

Registration No. 28,901

Attorneys for Applicant(s)

CERTIFICATE OF MAILING

f hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Official Draftsman, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 2000.

LIMBACH & LIMBACH L.L.P.

Date: February 4, 2000

Name: Susan Ozanne

FILING RECEIPT



UNITED STATES PARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/448,836	11/23/99	2712	\$2,668.00	SONY 19138	29	87	12
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

TARO SUITO, KANAGAWA, JAPAN; MASASHI OHTA, TOKYO, JAPAN; KATSUNARI MIYATA, TOKYO, JAPAN.

FOREIGN APPLICATIONS-

JAPAN

P10-339274

11/30/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/05/00 TITLE INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING METHOD, AND DISTRIBUTION MEDIA

PRELIMINARY CLASS: 348

FOREIGN FILING LETTER DUE:

FOREIGN FILING DEADLINE:

SOME SALE STATEMENT DUE:

SOME SALE STATEM

DATA ENTRY BY: WARREN, EFREM

TEAM: 01 DATE: 01/05/00

(See reverse for new important information)



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

DATE MAILED:

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

ATTORNEY DOCKET NO./TITLE

Washington, D.C. 20231

SAN FRANCISCO CA 94111-4262

FILING/RECEIPT DATE

APPLICATION NUMBER 11/23/99 SONY-09138 09/448,836 SHITO FEB 0 7 2000 0212/0105 -R-SAMMUT ESQ LIMBACH % LIMBACH 2001 FERRY BUILDING

FIRST NAMED APPLICANT

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

01/05/00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of □ \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$__ ☐ 1. The statutory basic filing fee is: missing. ☐ insufficient. _ to complete the basic filing fee and/or file a small entity statement Applicant must submit \$. claiming such status (37 CFR 1.27). □ 2. The following additional claims fees are due: total claims over 20. ___independent claims over 3. for multiple dependent claim surcharge. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due. 3. The oath or declaration: is missing or unsigned, does not cover the newly submitted items. An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required. 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required. 5. The signature of the following joint inventor(s) is missing from the oath or declaration: An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required. ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)). ☐ 7. Your filing receipt was mailed in error because your check was returned without payment. ☐ 8. The application was filed in a language other than English. Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)). 9. OTHER: Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice <u>MUST</u> be returned with the reply.

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